

### 3. Agency Component Abbreviations

Component Abbreviation	Component Name
OIG	Office of the Inspector General
HQ	Headquarters
R01	Boston
R02	New York
R03	Philadelphia
R04	Atlanta
R05	Chicago
R06	Fort Worth
R07	Kansas
R08	Denver
R09	San Francisco
R0X	Seattle

#### IV. EXEMPTION 3 STATUTES

Statute	Type of Information Withheld	Case Citation	Agency / Component	Number of Times Relied upon by Agency / Component	Total Number of Times Relied upon by Agency Overall
41 U.S.C. § 4702 (formerly at 41 U.S.C. § 253b(m)(1))	Contractor proposals that are in the possession or control of an executive agency and that have not been set forth or incorporated by reference into contracts	Sinkfield v. HUD, No. 10-885, 2012 U.S. Dist. LEXIS 35233, at *13-15 (S.D. Ohio Mar. 15, 2012); Margolin v. NASA, No. 09-CV-00421, 2011 WL 1303221, at *6 (D. Nev. Mar. 31, 2011); Hornbostel v. U.S. Dep't of the Interior, 305 F. Supp. 2d 21, 30 (D.D.C. 2003), summary affirmance granted, No. 03-5257, 2004 WL 1900562 (D.C. Cir. Aug. 25, 2004).	HQ	1	2
			R04	1	
42 U.S.C. § 3610(d) (Fair Housing Act)	Records pertaining to conciliation of disputes regarding allegations of unfair housing practices; information derived from housing discrimination investigations and any final investigative reports pertaining to such investigations	West v. Jackson, 448 F. Supp. 2d 207, 212-13 (D.D.C. 2006), summary affirmance granted & motion to remand denied, No. 06-5281, 2007 WL 1723362 (D.C. Cir. Mar. 6, 2007).	R03	1	14
			R05	1	
			R09	9	
			R0X	3	



## V.A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA REQUESTS

Agency / Component	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
OIG	17	91	101	7
HQ	780	1,405	1,249	936
R01	9	88	90	7
R02	10	108	108	10
R03	7	75	76	6
R04	23	121	124	20
R05	22	169	181	10
R06	8	84	79	13
R07	2	32	30	4
R08	6	39	37	8
R09	27	119	124	22
R0X	5	52	46	11
AGENCY OVERALL	916	2,383	2,245	1,054

After reviewing its databases, HQ, R03, R05, R07, and R08 adjusted the number of requests pending as of the start of the Fiscal Year.

## V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS

Agency / Component	Number of Full Grants	Number of Partial Grants / Partial Denials	Number of Full Denials Based on Exemptions	Number of Full Denials Based on Reasons Other than Exemptions									TOTAL
				No Records	All Records Referred to Another Component or Agency	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper FOIA Request for Other Reason	Not Agency Record	Duplicate Request	Other *Explain in Chart Below	
OIG	12	39	14	27	0	5	0	0	2	0	2	0	101
HQ	129	186	8	128	245	167	7	1	8	6	364	0	1,249
R01	48	14	2	12	1	3	1	1	4	3	1	0	90
R02	36	24	1	26	11	2	0	0	4	0	4	0	108
R03	16	18	4	20	7	5	0	0	3	0	3	0	76
R04	37	23	16	9	8	8	7	4	8	2	2	0	124
R05	89	27	6	37	3	6	6	1	0	0	5	1	181
R06	16	28	3	13	9	4	0	1	0	0	5	0	79
R07	5	12	0	8	0	4	1	0	0	0	0	0	30
R08	13	13	1	3	4	3	0	0	0	0	0	0	37
R09	24	32	3	36	3	14	1	5	2	0	4	0	124
R0X	5	24	3	6	2	4	0	0	0	0	2	0	46
AGENCY OVERALL	430	440	61	325	293	225	23	13	31	11	392	1	2,245

**V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS  
BASED ON REASONS OTHER THAN EXEMPTIONS"**

Agency / Component	Description of "Other" Reasons for Denials from Chart B(1)	Number of Times "Other" Reason Was Relied Upon	TOTAL
OIG	N/A	0	0
HQ	N/A	0	0
R01	N/A	0	0
R02	N/A	0	0
R03	N/A	0	0
R04	N/A	0	0
R05	Litigation	1	1
R06	N/A	0	0
R07	N/A	0	0
R08	N/A	0	0
R09	N/A	0	0
R0X	N/A	0	0
AGENCY OVERALL			1

**V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED**

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
OIG	0	1	0	2	14	14	8	0	35	1	2	0	0	0
HQ	0	1	1	12	13	128	4	0	1	0	0	0	0	0
R01	0	0	0	7	1	11	0	0	0	0	0	0	0	0
R02	0	1	0	2	1	10	0	0	1	0	0	0	0	0
R03	0	0	1	3	1	8	0	0	0	0	0	0	0	0
R04	0	0	1	5	2	12	1	0	5	1	0	0	0	0
R05	0	0	1	1	1	25	1	0	0	0	0	0	0	0
R06	0	1	0	6	7	18	1	0	1	0	0	0	0	0
R07	0	0	0	1	1	11	0	0	0	0	0	0	0	0
R08	0	0	0	3	2	5	4	0	2	0	0	0	0	0
R09	0	0	9	9	6	17	3	0	9	0	1	0	0	0
R0X	0	0	3	7	4	22	7	1	10	0	1	0	0	0
AGENCY OVERALL	0	4	16	58	53	281	29	1	64	2	4	0	0	0

**VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS**

Agency / Component	Number of Appeals Pending as of Start of Fiscal Year	Number of Appeals Received in Fiscal Year	Number of Appeals Processed in Fiscal Year	Number of Appeals Pending as of End of Fiscal Year
OIG	1	4	5	0
HQ	9	40	35	14
R01	0	0	0	0
R02	0	1	1	0
R03	0	1	1	0
R04	0	0	0	0
R05	0	2	2	0
R06	0	0	0	0
R07	1	2	3	0
R08	0	0	0	0
R09	0	2	2	0
R0X	1	0	1	0
AGENCY OVERALL	12	52	50	14

*After reviewing its databases, HQ adjusted the number of appeals pending as of the start of the Fiscal Year.*

## VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS

Agency / Component	Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	TOTAL
OIG	4	1	0	0	5
HQ	24	4	3	4	35
R01	0	0	0	0	0
R02	0	0	0	1	1
R03	0	0	1	0	1
R04	0	0	0	0	0
R05	1	0	1	0	2
R06	0	0	0	0	0
R07	3	0	0	0	3
R08	0	0	0	0	0
R09	2	0	0	0	2
R0X	0	0	0	1	1
AGENCY OVERALL	34	5	5	6	50

**VI.C.(1). REASONS FOR DENIAL ON APPEAL -- NUMBER OF TIMES EXEMPTIONS APPLIED**

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
OIG	0	0	0	0	1	0	1	0	2	0	1	0	0	0
HQ	0	0	0	1	0	2	0	0	0	0	0	0	0	0
R01	0	0	0	0	0	0	0	0	0	0	0	0	0	0
R02	0	0	0	0	0	0	0	0	0	0	0	0	0	0
R03	0	0	0	0	0	0	0	0	0	0	0	0	0	0
R04	0	0	0	0	0	0	0	0	0	0	0	0	0	0
R05	0	0	0	0	0	0	0	0	0	0	0	0	0	0
R06	0	0	0	0	0	0	0	0	0	0	0	0	0	0
R07	0	0	0	0	0	2	0	0	0	0	0	0	0	0
R08	0	0	0	0	0	0	0	0	0	0	0	0	0	0
R09	0	0	0	0	0	0	0	0	0	0	0	0	0	0
R0X	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	0	0	1	1	4	1	0	2	0	1	0	0	0

## VI.C.(2). REASONS FOR DENIAL ON APPEAL -- REASONS OTHER THAN EXEMPTIONS

Agency / Component	No Records	Records Referred at Initial Request Level	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper Request for Other Reasons	Not Agency Record	Duplicate Request or Appeal	Request in Litigation	Appeal Based Solely on Denial of Request for Expedited Processing	Other *Explain in chart below
OIG	0	0	0	0	0	0	0	0	0	0	0
HQ	0	0	3	0	0	1	0	0	0	0	0
R01	0	0	0	0	0	0	0	0	0	0	0
R02	1	0	0	0	0	0	0	0	0	0	0
R03	0	0	0	0	0	0	0	0	0	0	0
R04	0	0	0	0	0	0	0	0	0	0	0
R05	0	0	0	0	0	0	0	0	0	0	0
R06	0	0	0	0	0	0	0	0	0	0	0
R07	0	0	0	0	0	0	0	0	0	0	0
R08	0	0	0	0	0	0	0	0	0	0	0
R09	0	0	0	0	0	0	0	0	0	0	0
R0X	0	0	0	0	0	1	0	0	0	0	0
AGENCY OVERALL	1	0	3	0	0	2	0	0	0	0	0

### VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS

Agency / Component	Description of "Other" Reasons for Denial on Appeal from Chart C(2)	Number of Times "Other" Reason Was Relied Upon	TOTAL
OIG	N/A	0	0
HQ	NA	0	0
R01	N/A	0	0
R02	N/A	0	0
R03	N/A	0	0
R04	N/A	0	0
R05	N/A	0	0
R06	N/A	0	0
R07	N/A	0	0
R08	N/A	0	0
R09	N/A	0	0
R0X	N/A	0	0
AGENCY OVERALL			0

#### VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS

Agency / Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
OIG	16.00	18.33	16.00	23.00
HQ	21.00	51.04	5.00	464.00
R01	<1	<1	<1	<1
R02	20.00	20.00	20.00	20.00
R03	20.00	20.00	20.00	20.00
R04	<1	<1	<1	<1
R05	20.00	20.00	20.00	20.00
R06	<1	<1	<1	<1
R07	24.00	43.33	23.00	83.00
R08	<1	<1	<1	<1
R09	<1	<1	<1	<1
R0X	19.00	19.00	19.00	19.00
AGENCY OVERALL	21.00	39.94	5.00	464.00

#### **VI.C.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS**

	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
R09	Date of Appeal	N/A									
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
R0X	Date of Appeal	N/A									
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	Date of Appeal	2018-06-06	2018-06-06	2018-05-30	2018-03-29	2018-03-15	2018-03-01	2017-12-11	2017-11-27	2016-07-13	2016-04-22
	Number of Days Pending	81	81	86	129	139	141	203	213	557	613

## VII.A. FOIA REQUESTS -- RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS

Agency / Component	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
OIG	14	15.23	<1	54	14.5	18.64	3	88	0	0	0	0
HQ	18	58.97	<1	665	88	122.28	<1	881	193	193	193	193
R01	14	14.38	1	72	49	67.23	19	304	2	2	2	2
R02	20	22.04	<1	158	28	25.17	1	79	5	5	5	5
R03	10	20.21	<1	394	9.5	16.62	<1	48	8	8	6	10
R04	18	18.7	<1	59	22	28.22	<1	168	32	32	30	34
R05	9.5	14.13	<1	173	17	34.02	<1	288	1	6	1	16
R06	15	14.95	<1	39	31	39.34	1	157	10.5	10.5	1	20
R07	15	14.76	<1	37	48	48	48	48	0	0	0	0
R08	13	14.84	<1	107	77.5	68.67	20	108	0	0	0	0
R09	15.5	15.57	<1	46	24	56.88	<1	701	48	48	48	48
R0X	15.5	15.28	<1	34	38	71.44	<1	294	1	1	1	1
AGENCY OVERALL	16	40.17	<1	665	69.5	96.61	<1	881	8	26.29	1	193

**VII.B. PROCESSED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED**

Agency / Component	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
OIG	16	18.68	6	54	18	23.24	3	88	N/A	N/A	N/A	N/A
HQ	68.5	116.1	5	628	151	199.93	6	881	193	193	193	193
R01	15.5	14.6	1	55	58	82.33	36	304	2	2	2	2
R02	22.5	25.61	2	158	31	32.94	11	79	N/A	N/A	N/A	N/A
R03	20	30.74	3	394	22.5	22.5	10	35	10	10	10	10
R04	20	21.07	1	59	22	26.54	<1	73	30	30	30	30
R05	10.5	13.84	<1	89	20	37.94	1	288	1	6	1	16
R06	14	16	2	38	38.5	47.96	1	157	20	20	20	20
R07	17	17.81	1	37	48	48	48	48	N/A	N/A	N/A	N/A
R08	16	14.6	4	24	77.5	68.67	20	108	N/A	N/A	N/A	N/A
R09	17	19.1	5	46	40	71.63	2	701	48	48	48	48
R0X	18.5	18.18	<1	34	41	97.83	19	294	1	1	1	1
AGENCY OVERALL	20	49.58	<1	628	67	129.99	<1	881	13	32.2	1	193

### VII.C. PROCESSED SIMPLE REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
OIG	1	61	10	0	0	0	0	0	0	0	0	0	0	72
HQ	398	95	59	38	41	17	11	14	9	7	40	19	11	759
R01	61	8	1	1	0	0	0	0	0	0	0	0	0	71
R02	42	32	2	2	0	0	0	1	0	0	0	0	0	79
R03	46	15	0	0	1	0	0	0	0	0	0	1	0	63
R04	58	22	7	0	0	0	0	0	0	0	0	0	0	87
R05	107	18	2	0	2	0	0	0	1	0	0	0	0	130
R06	31	7	0	0	0	0	0	0	0	0	0	0	0	38
R07	24	5	0	0	0	0	0	0	0	0	0	0	0	29
R08	26	4	0	0	0	1	0	0	0	0	0	0	0	31
R09	32	11	1	0	0	0	0	0	0	0	0	0	0	44
R0X	30	6	0	0	0	0	0	0	0	0	0	0	0	36
AGENCY OVERALL	856	284	82	41	44	18	11	15	10	7	40	20	11	1,439

### VII.C. PROCESSED COMPLEX REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
OIG	0	22	4	1	0	0	0	0	0	0	0	0	0	27
HQ	87	34	20	17	181	14	10	13	9	5	44	20	26	480
R01	1	5	3	2	1	0	0	0	0	0	0	1	0	13
R02	11	10	2	1	0	0	0	0	0	0	0	0	0	24
R03	6	1	1	0	0	0	0	0	0	0	0	0	0	8
R04	10	10	1	1	0	0	0	0	1	0	0	0	0	23
R05	31	10	1	0	0	0	0	0	0	2	2	0	0	46
R06	8	17	9	0	1	2	0	1	0	0	0	0	0	38
R07	0	0	1	0	0	0	0	0	0	0	0	0	0	1
R08	1	1	0	1	1	2	0	0	0	0	0	0	0	6
R09	30	18	9	5	3	1	0	0	0	2	1	0	3	72
R0X	4	1	2	0	0	0	0	0	1	0	1	0	0	9
AGENCY OVERALL	189	129	53	28	187	19	10	14	11	9	48	21	29	747

**VII.C. PROCESSED REQUESTS GRANTED EXPEDITED PROCESSING -- RESPONSE TIME IN DAY INCREMENTS**

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
OIG	0	0	0	0	0	0	0	0	0	0	0	0	0	0
HQ	0	0	0	0	0	0	0	0	0	1	0	0	0	1
R01	1	0	0	0	0	0	0	0	0	0	0	0	0	1
R02	1	0	0	0	0	0	0	0	0	0	0	0	0	1
R03	2	0	0	0	0	0	0	0	0	0	0	0	0	2
R04	0	2	0	0	0	0	0	0	0	0	0	0	0	2
R05	3	0	0	0	0	0	0	0	0	0	0	0	0	3
R06	2	0	0	0	0	0	0	0	0	0	0	0	0	2
R07	0	0	0	0	0	0	0	0	0	0	0	0	0	0
R08	0	0	0	0	0	0	0	0	0	0	0	0	0	0
R09	0	0	1	0	0	0	0	0	0	0	0	0	0	1
R0X	1	0	0	0	0	0	0	0	0	0	0	0	0	1
AGENCY OVERALL	10	2	1	0	0	0	0	0	0	0	1	0	0	14

#### VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS

Agency / Component	SIMPLE			COMPLEX			EXPEDITED PROCESSING		
	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days
OIG	1	8	8	2	5.5	5	0	N/A	N/A
HQ	530	20	19	365	20	22	2	71	71
R01	5	20	20	2	55	55	0	N/A	N/A
R02	6	20	23	0	N/A	N/A	0	N/A	N/A
R03	4	20	16	0	N/A	N/A	0	N/A	N/A
R04	12	20	24	0	N/A	N/A	0	N/A	N/A
R05	6	20	24	2	20	20	0	N/A	N/A
R06	7	20	28	3	40	40	0	N/A	N/A
R07	3	20	26	1	20	20	0	N/A	N/A
R08	5	78	61	3	20	20	0	N/A	N/A
R09	9	20	23	7	20	22	0	N/A	N/A
R0X	7	20	23	3	30	35	0	N/A	N/A
AGENCY OVERALL	594	20	20	386	20	23	2	71	71

## VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS

Agency / Component		10th Oldest Request	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Request
OIG	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2018-09-21	2018-09-20	2018-09-18
	Number of Days Pending	0	0	0	0	0	0	0	6	7	9
HQ	Date of Receipt	2016-01-29	2016-01-11	2015-12-22	2015-11-23	2015-10-19	2015-09-26	2015-09-22	2015-09-02	2015-03-03	2014-08-15
	Number of Days Pending	672	685	697	717	741	755	759	772	901	1035
R01	Date of Receipt	N/A	N/A	N/A	2018-09-28	2018-09-24	2018-09-24	2018-09-24	2018-09-24	2018-07-23	2018-07-16
	Number of Days Pending	0	0	0	1	5	5	5	5	49	54
R02	Date of Receipt	N/A	N/A	N/A	N/A	2018-09-28	2018-09-28	2018-09-27	2018-09-25	2018-09-05	2018-08-15
	Number of Days Pending	0	0	0	0	1	1	2	4	18	32
R03	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	2018-09-28	2018-09-25	2018-09-18	2018-09-13
	Number of Days Pending	0	0	0	0	0	0	1	4	9	12
R04	Date of Receipt	2018-09-26	2018-09-25	2018-09-24	2018-09-19	2018-09-17	2018-09-13	2018-09-11	2018-08-31	2018-08-29	2018-06-26
	Number of Days Pending	3	4	5	8	10	12	14	20	22	67
R05	Date of Receipt	N/A	N/A	2018-09-26	2018-09-25	2018-09-24	2018-09-20	2018-09-07	2018-07-31	2018-07-25	2018-05-25
	Number of Days Pending	0	0	3	4	5	7	16	43	47	88
R06	Date of Receipt	2018-09-27	2018-09-26	2018-09-20	2018-09-20	2018-09-14	2018-09-13	2018-09-13	2018-08-29	2018-08-17	2018-07-13
	Number of Days Pending	2	3	7	7	11	12	12	22	30	55
R07	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	2018-09-24	2018-09-14	2018-09-06	2018-09-04
	Number of Days Pending	0	0	0	0	0	0	5	11	17	19
DOO	Date of Receipt	N/A	N/A	2018-09-26	2018-09-18	2018-08-09	2018-07-31	2018-06-26	2018-06-11	2018-05-16	2018-04-24

	Number of Days Pending	0	0	3	9	36	43	67	78	95	111
R09	Date of Receipt	2018-09-20	2018-09-20	2018-09-04	2018-08-31	2018-08-23	2018-08-14	2018-08-09	2018-07-25	2018-07-18	2016-09-07
	Number of Days Pending	7	7	19	20	26	33	36	47	52	518
R0X	Date of Receipt	2018-09-21	2018-09-19	2018-09-10	2018-09-10	2018-09-10	2018-08-31	2018-08-10	2018-07-30	2018-05-31	2018-05-16
	Number of Days Pending	6	8	15	15	15	20	35	44	85	95
AGENCY OVERALL	Date of Receipt	2016-01-29	2016-01-11	2015-12-02	2015-11-23	2015-10-19	2015-09-29	2015-09-22	2015-09-02	2015-03-03	2014-08-15
	Number of Days Pending	672	685	697	717	741	755	759	772	901	1035

### VIII.A. REQUESTS FOR EXPEDITED PROCESSING

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate	Number Adjudicated Within Ten Calendar Days
OIG	0	12	1	1	12
HQ	1	43	1	4.64	41
R01	1	0	1	1	1
R02	1	1	5.5	5.5	2
R03	1	1	10	10	1
R04	3	4	1	5.14	6
R05	3	0	1	8	2
R06	1	0	1	1	1
R07	0	1	1	1	1
R08	0	0	N/A	N/A	0
R09	1	3	3.5	16.75	3
R0X	1	1	1	1	2
AGENCY OVERALL	13	66	1	5.57	62

### VIII.B. REQUESTS FOR FEE WAIVER

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate
OIG	0	12	1	1
HQ	3	19	1	2.27
R01	1	0	3	3
R02	2	3	5	7.8
R03	2	0	0.5	0.5
R04	3	2	1	1
R05	4	0	2	5
R06	0	0	N/A	N/A
R07	0	1	1	1
R08	0	0	N/A	N/A
R09	0	0	N/A	N/A
R0X	1	0	1	1
AGENCY OVERALL	16	37	1	2.63

## IX. FOIA PERSONNEL AND COSTS

Agency / Component	PERSONNEL			COSTS		
	Number of "Full-Time FOIA Employees"	Number of "Equivalent Full-Time FOIA Employees"	Total Number of "Full-Time FOIA Staff"	Processing Costs	Litigation-Related Costs	Total Costs
OIG	2	0	2	\$13,000.00	\$0.00	\$13,000.00
HQ	11	19	30	\$58,348.44	\$1,095,926.90	\$1,154,275.34
R01	3	0	3	\$5,395.00	\$0.00	\$5,395.00
R02	2	1	3	\$360,000.00	\$0.00	\$360,000.00
R03	1	1	2	\$86,500.00	\$0.00	\$86,500.00
R04	1	7	8	\$9,961.70	\$0.00	\$9,961.70
R05	2	4	6	\$1,764.00	\$0.00	\$1,764.00
R06	1	4	5	\$368,705.23	\$0.00	\$368,705.23
R07	2	4	6	\$778.00	\$0.00	\$778.00
R08	3	2	5	\$525.00	\$0.00	\$525.00
R09	1	5	6	\$4,109.98	\$0.00	\$4,109.98
R0X	1	1	2	\$141,493.96	\$0.00	\$141,493.96
AGENCY OVERALL	30	48	78	\$1,050,581.31	\$1,095,926.90	\$2,146,508.21

## X. FEES COLLECTED FOR PROCESSING REQUESTS

Agency / Component	Total Amount of Fees Collected	Percentage of Total Costs
OIG	\$5,000.00	38.46%
HQ	\$16,690.12	28.60%
R01	\$395.70	7.33%
R02	\$2,481.39	0.69%
R03	\$78.00	0.09%
R04	\$2,066.40	20.74%
R05	\$1,634.00	92.63%
R06	\$0.00	0.00%
R07	\$778.00	100.00%
R08	\$525.00	100.00%
R09	\$3,949.00	96.08%
R0X	\$536.50	0.38%
AGENCY OVERALL	\$34,134.11	3.25%

#### **XI.A. NUMBER OF TIMES SUBSECTION (C) USED**

Agency / Component	Number of Times Subsection Used
OIG	0
HQ	0
R01	0
R02	0
R03	0
R04	0
R05	0
R06	0
R07	0
R08	0
R09	0
R0X	0
AGENCY OVERALL	0

## **XI.B. NUMBER OF SUBSECTION (A)(2) POSTINGS**

Agency / Component	Number of Records Posted by the FOIA Office	Number of Records Posted by Program Offices
OIG	0	0
HQ	0	0
R01	0	0
R02	0	0
R03	0	0
R04	0	0
R05	0	0
R06	0	0
R07	0	0
R08	0	0
R09	0	0
R0X	0	0
AGENCY OVERALL	0	0

## **XII.A. BACKLOGS OF FOIA REQUESTS AND ADMINISTRATIVE APPEALS**

Agency / Component	Number of Backlogged Requests as of End of Fiscal Year	Number of Backlogged Appeals as of End of Fiscal Year
OIG	0	0
HQ	842	14
R01	1	0
R02	1	0
R03	0	0
R04	3	0
R05	1	0
R06	1	0
R07	0	0
R08	3	0
R09	5	0
R0X	3	0
<b>AGENCY OVERALL</b>	<b>860</b>	<b>14</b>

**XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS**

Agency / Component	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>Start</u> of the Fiscal Year	Number of Consultations <u>Received</u> from Other Agencies During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Processed</u> by the Agency During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>End</u> of the Fiscal Year
OIG	0	0	0	0
HQ	0	6	5	1
R01	0	0	0	0
R02	0	1	1	0
R03	0	1	1	0
R04	0	0	0	0
R05	0	0	0	0
R06	0	0	0	0
R07	0	0	0	0
R08	0	0	0	0
R09	0	0	0	0
R0X	0	0	0	0
AGENCY OVERALL	0	8	7	1

## XII.C. CONSULTATIONS ON FOIA REQUESTS -- TEN OLDEST CONSULTATIONS RECEIVED FROM OTHER AGENCIES AND PENDING AT THE AGENCY



**XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT --  
REQUESTS RECEIVED AND PROCESSED**

Agency / Component	NUMBER OF REQUESTS RECEIVED		NUMBER OF REQUESTS PROCESSED	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
OIG	106	91	109	101
HQ	1,338	1,405	1,338	1,249
R01	80	88	80	90
R02	74	108	74	108
R03	99	75	99	76
R04	168	121	168	124
R05	110	169	110	181
R06	91	84	91	79
R07	22	32	22	30
R08	37	39	37	37
R09	127	119	127	124
R0X	44	52	44	46
AGENCY OVERALL	2,296	2,383	2,299	2,245

**XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS**

Agency / Component	Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report
OIG	1	0
HQ	507	842
R01	1	1
R02	0	1
R03	0	0
R04	1	3
R05	8	1
R06	0	1
R07	0	0
R08	0	3
R09	8	5
R0X	1	3
AGENCY OVERALL	527	860

**XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED**

Agency / Component	NUMBER OF APPEALS RECEIVED		NUMBER OF APPEALS PROCESSED	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
OIG	2	4	3	5
HQ	42	40	44	35
R01	1	0	1	0
R02	1	1	1	1
R03	3	1	3	1
R04	0	0	1	0
R05	0	2	2	2
R06	1	0	1	0
R07	2	2	1	3
R08	1	0	1	0
R09	1	2	2	2
R0X	2	0	1	1
AGENCY OVERALL	56	52	61	50

**XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS**

Agency / Component	Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report
OIG	0	0
HQ	8	14
R01	0	0
R02	0	0
R03	0	0
R04	0	0
R05	0	0
R06	0	0
R07	0	0
R08	0	0
R09	1	0
R0X	0	0
AGENCY OVERALL	9	14

*After reviewing is databases, HQ and R09 updated the number of backlogged administrative appeals from the previous Fiscal Year.*

